Appendix A

The Baruch Plan

My Fellow Members of the United Nations Atomic Energy Commission, and My Fellow Citizens of the World:

We are here to make a choice between the quick and the dead. That is our business.

Behind the black portent of the new atomic age lies a hope which, seized upon with faith, can work our salvation. If we fail, then we have damned every man to be the slave of Fear. Let us not deceive ourselves: We must elect World Peace or World Destruction.

Science has torn from nature a secret so vast in its potentialities that our minds cower from the terror it creates. Yet terror is not enough to inhibit the use of the atomic bomb. The terror created by weapons has never stopped man from employing them. For each new weapon a defense has been produced, in time. But now we face a condition in which adequate defense does not exist.

Science, which gave us this dread power, shows that it can be made a giant help to humanity, but science does not show us how to prevent its baleful use. So we have been appointed to obviate that peril by finding a meeting of the minds and the hearts of our peoples. Only in the will of mankind lies the answer.

It is to express this will and make it effective that we have been assembled. We must provide the mechanism to assure that atomic energy is used for peaceful purposes and preclude its use in war. To that end, we must provide immediate, swift, and sure punishment of those who violate the agreements that are reached by the nations. Penalization is essential if peace is to be more than a feverish interlude between wars. And, too, the United Nations can prescribe individual responsibility and punishment on the principles applied at Nuremberg by the Union of Soviet Socialist Republics, the United Kingdom, France and the United States – a formula certain to benefit the world’s future.

In this crisis, we represent not only our governments but, in a larger way, we represent the peoples of the world. We must remember that the peoples do not belong to the governments but that the governments belong to the peoples. We must answer their demands; we must answer the world’s longing for peace and security.

In that desire the United States shares ardently and hopefully. The search of science for the absolute weapon has reached fruition in this country. But she stands ready to proscribe and destroy this instrument — to lift its use from death to life — if the world will join in a pact to that end.

In our success lies the promise of a new life, freed from the heart-stopping fears that now beset the world. The beginning of victory for the great ideals for which millions have bled and died lies in building a workable plan. Now we approach fulfillment of the aspirations of mankind. At the end of the road lies the fairer, better, surer life we crave and mean to have.

Only by a lasting peace are liberties and democracies strengthened and deepened. War is their enemy. And it will not do to believe that any of us can escape war’s devastation. Victor, vanquished, and neutrals alike are affected physically, economically and morally.

Against the degradation of war we can erect a safeguard. That is the guaranty of which we reach. Within the scope for the formula we outline here there will be found, to [i.e. by] those who seek it, the essential elements of our purpose. Others will see only emptiness. Each of us carries his own mirror in which is reflected hope — or determined desperation — courage or cowardice.

There is a famine throughout the world today. It starves men’s bodies. But there is a greater famine — the hunger of men’s spirit. That starvation can be cured by the conquest of fear, and the substitution of hope, from which springs faith — faith in each other, faith that we want to work together toward salvation, and determination that those who threaten the peace and safety shall be punished.

The peoples of these democracies gathered here have a particular concern with our answer, for their peoples hate war. They will have a heavy exaction to make of those who fail to provide an escape. They are not afraid of an internationalism that protects; they are unwilling to be fobbed off by mouthings about narrow sovereignty, which is today’s phrase for yesterday’s isolation.

The basis of a sound foreign policy, in this new age, for all the nations here gathered, is that anything that happens, no matter where or how, which menaces the peace of the world, or the economic stability, concerns each and all of us.

That roughly, may be said to be the central theme of the United Nations. It is with that thought we begin consideration of the most important subject that can engage mankind — life itself.

Let there be no quibbling about the duty and the responsibility of this group and of the governments we represent. I was moved, in the afternoon of my life, to add my effort to gain the world’s quest, by the broad mandate under which we were created. The resolution of the General Assembly, passed January 24, 1946 in London reads:

Section V. Terms of References of the Commission

The Commission shall proceed with the utmost despatch and enquire into all phases of the problem, and make such recommendations from time to time with
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respect to them as it finds possible. In particular the Commission shall make specific proposals:

(a) For extending between all nations the exchange of basic scientific information for peaceful ends;
(b) For control of atomic energy to the extent necessary to ensure its use only for peaceful purposes;
(c) For the elimination from national armaments of atomic weapons and of all other major weapons adaptable to mass destruction;
(d) For effective safeguards by way of inspection and other means to protect complying States against the hazards of violations and evasions.

The work of the Commission should proceed by separate stages, the successful completion of each of which will develop the necessary confidence of the world before the next stage is undertaken....

Our mandate rests, in text and spirit, upon the outcome of the Conference in Moscow of Messrs Molotov of the Union of Soviet Socialist Republics, Bevin of the United Kingdom, and Byrnes of the United States of America. The three Foreign Ministers on December 27, 1945 proposed the establishment of this body.

Their action was animated by a preceding conference in Washington on November 15, 1945, when the President of the United States, associated with Mr Attlee, Prime Minister of the United Kingdom, and Mr Mackenzie King, Prime Minister of Canada, stated that international control of the whole field of atomic energy was immediately essential. They proposed the formation of this body. In examining that source, the Agreed Declaration, it will be found that the fathers of the concept recognized the final means of world salvation – the abolition of war. Solemnly they wrote:

We are aware that the only complete protection for the civilized world from the destructive use of scientific knowledge lies in the prevention of war. No system of safeguards that can be devised will of itself provide an effective guarantee against production of atomic weapons by a nation bent on aggression. Nor can we ignore the possibility of the development of other weapons, or of new methods of warfare, which may constitute as great a threat to civilization as the military use of atomic energy.

Through the historical approach I have outlined, we find ourselves here to test if man can produce, through his will and faith, the miracle of peace, just as he has, through science and skill, the miracle of the atom.

The United States proposes the creation of an International Atomic Development Authority, to which should be entrusted all phases of the development and use of atomic energy, starting with the raw material and including:

1. Managerial control or ownership of all atomic-energy activities potentially dangerous to world security.
2. Power to control, inspect, and license all other atomic activities.
3. The duty of fostering the beneficial uses of atomic energy.
4. Research and development responsibilities of an affirmative character intended to put the Authority in the forefront of atomic knowledge and thus to enable it to comprehend, and therefore to detect, misuse of atomic energy. To be
effective, the Authority must itself be the world’s leader in the field of atomic knowledge and development and thus supplement its legal authority with the great power inherent in possession of leadership in knowledge.

I offer this as a basis for beginning our discussion.

But I think the peoples we serve would not believe – and without faith nothing counts – that a treaty, merely outlawing possession or use of the atomic bomb, constitutes effective fulfillment of the instructions to this Commission. Previous failures have been recorded in trying the method of simple renunciation, unsupported by effective guaranties of security and armament limitation. No one would have faith in that approach alone.

Now, if ever, is the time to act for the common good. Public opinion supports a world movement toward security. If I read the signs aright, the peoples want a program not composed merely of pious thoughts but of enforceable sanctions – an international law with teeth in it.

We of this nation, desirous of helping to bring peace to the world and realizing the heavy obligations upon us arising from our possession of the means of producing the bomb and from the fact that it is part of our armament, are prepared to make our full contribution toward effective control of atomic energy.

When an adequate system for control of atomic energy, including the renunciation of the bomb as a weapon, has been agreed upon and put into effective operation and condign punishments set up for violations of the rules of control which are to be stigmatized as international crimes, we propose that:

1. Manufacture of atomic bombs shall stop;
2. Existing bombs shall be disposed of pursuant to the terms of the treaty; and
3. The Authority shall be in possession of full information as to the know-how for the production of atomic energy.

Let me repeat, so as to avoid misunderstanding: My country is ready to make its full contribution toward the end we seek, subject of course to our constitutional processes and to an adequate system of control becoming fully effective, as we finally work it out.

Now as to violations: In the agreement, penalties of as serious a nature as the nations may wish and as immediate and certain in their execution as possible should be fixed for:

1. Illegal possession or use of an atomic bomb;
2. Illegal possession, or separation, of atomic material suitable for use in an atomic bomb;
3. Seizure of any plant or other property belonging to or licensed by the Authority;
4. Willful interference with the activities of the Authority;
5. Creation or operation of dangerous projects in a manner contrary to, or in the absence of, a license granted by the international control body.

It would be a deception, to which I am unwilling to lend myself, were I not to say to you and to our peoples that the matter of punishment lies at the very heart of our present security system. It might as well be admitted, here and now, that the subject goes straight to the veto power contained in the Charter of the
United Nations so far as it relates to the field of atomic energy. The Charter permits penalization only by concurrence of each of the five great powers – the Union of Soviet Socialist Republics, the United Kingdom, China, France, and the United States.

I want to make very plain that I am concerned here with the veto power only as it affects this particular problem. There must be no veto to protect those who violate their solemn agreements not to develop or use atomic energy for destructive purposes.

The bomb does not wait upon debate. To delay may be to die. The time between violation and preventive action or punishment would be all too short for extended discussion as to the course to be followed.

As matters now stand several years may be necessary for another country to produce a bomb, *de novo*. However, once the basic information is generally known, and the Authority has established producing plants for peaceful purposes in the several countries, an illegal seizure of such a plant might permit a malevolent nation to produce a bomb in 12 months, and if preceded by secret preparation and necessary facilities perhaps even in a much shorter time. The time required – the advance warning given of the possible use of a bomb – can only be generally estimated but obviously will depend upon many factors, including the success with which the Authority has been able to introduce elements of safety in the design of its plants and the degree to which illegal and secret preparation for the military use of atomic energy will have been eliminated. Presumably no nation would think of starting a war with only one bomb.

This shows how imperative speed is in detecting and penalizing violations.

The process of prevention and penalization – a problem of profound statecraft – is, as I read it, implicit in the Moscow statement, signed by the Union of Soviet Socialist Republics, the United States and the United Kingdom a few months ago.

But before a country is ready to relinquish any winning weapons it must have more than words to reassure it. It must have a guarantee of safety, not only against the offenders in the atomic area but against the illegal users of other weapons – bacteriological, biological, gas – perhaps – why not! – against war itself.

In the elimination of war lies our solution, for only then will nations cease to compete with one another in the production and use of dread ‘secret’ weapons which are evaluated solely by their capacity to kill. This devilish program takes us back not merely to the Dark Ages but from cosmos to chaos. If we succeed in finding a suitable way to control atomic weapons, it is reasonable to hope that we may also preclude the use of other weapons adaptable to mass destruction. When a man learns to say ‘A’ he can, if he chooses, learn the rest of the alphabet too.

Let this be anchored in our minds: Peace is never long preserved by weight of metal or by an armament race. Peace can be made tranquil and secure only by understanding and agreement fortified by sanctions. We must embrace international cooperation or international disintegration.

Science has taught us how to put the atom to work. But to make it work for good instead of for evil lies in the domain dealing with the principles of human duty. We are now facing a problem more of ethics than of physics.

The solution will require apparent sacrifice in pride and in position, but better pain as the price of peace than death as the price of war.
I now submit the following measures as representing the fundamental features of a plan which would give effect to certain of the conclusions with [sic] I have epitomized.

1. **General.** The Authority should set up a thorough plan for control of the field of atomic energy, through various forms of ownership, dominion, licenses, operation, inspection, research, and management by competent personnel. After this is provided for, there should be as little interference as may be with the economic plans and the present private, corporate and state relationships in the several countries involved.

2. **Raw Materials.** The Authority should have as one of its earliest purposes to obtain and maintain complete and accurate information on world supplies of uranium and thorium and to bring them under its dominion. The precise pattern of control for various types of deposits of such materials will have to depend upon the geological, mining, refining and economic facts involved in different situations.

   The Authority should conduct continuous surveys so that it will have the most complete knowledge of the world geology of uranium and thorium. Only after all current information on world sources of uranium and thorium is known to us all can equitable plans be made for their production, refining, and distribution.

3. **Primary Production Plants.** The Authority should exercise complete managerial control of the production of fissionable materials in dangerous quantities and must own and control the product of these plants.

4. **Atomic Explosives.** The Authority should be given sole and exclusive right to conduct research in the field of atomic explosives. Research activities in the field of atomic explosives are essential in order that the Authority may keep in the forefront of knowledge in the field of atomic energy and fulfill the objective of preventing illicit manufacture of bombs. Only by maintaining its position as the best-informed agency will the Authority be able to determine the line between intrinsically dangerous and non-dangerous activities.

5. **Strategic Distribution of Activities and Materials.** The activities entrusted exclusively to the Authority because they are intrinsically dangerous to security should be distributed throughout the world. Similarly, stockpiles of raw materials and fissionable materials should not be centralized.

6. **Non-Dangerous Activities.** A function of the Authority should be promotion of the peacetime benefits of atomic energy.

   Atomic research (except in explosives), the use of research reactors, the production of radioactive tracers by means of non-dangerous reactors, the use of such tracers, and to some extent the production of power should be open to nations and their citizens under reasonable licensing arrangements from the Authority. Denatured materials, whose use we know also requires suitable safeguards, should be furnished for such purposes by the Authority under lease or other arrangement. Denaturing seems to have been overestimated by the public as a safety measure.

7. **Definition of Dangerous and Non-Dangerous Activities.** Although a reasonable dividing line can be drawn between dangerous and non-dangerous activities, it is not hard and fast. Provision should, therefore, be made to
assure constant re-examination of the questions and to permit revision of
the dividing line as changing conditions and new discoveries may require.

8. **Operations of Dangerous Activities.** Any plant dealing with uranium or
thorium after it once reaches the potential of dangerous use must be not only
subject to the most rigorous and competent inspection by the Authority, but
its actual operation shall be under the management, supervision, and control
of the Authority.

9. **Inspection.** By assigning intrinsically dangerous activities exclusively to the
Authority, the difficulties of inspection are reduced. If the Authority is the
only agency which may lawfully conduct dangerous activities, then visible
operation by others than the Authority will constitute an unambiguous
danger signal. Inspection will also occur in connection with the licensing
functions of the Authority.

10. **Freedom of Access.** Adequate ingress and egress for all qualified representa-
tives of the Authority must be assured. Many of the inspection activities of
the Authority should grow out of, and be incidental to, its other functions.
Important measures of inspection will be associated with the tight control
of raw materials, for this is a keystone of the plan. The continuing activi-
ties of prospecting, survey, and research in relation to raw materials will
be designed not only to serve the affirmative development functions of the
Authority but also to assure that no surreptitious operations are conducted
in the raw-materials field by nations or their citizens.

11. **Personnel.** The personnel of the Authority should be recruited on a basis of
proven competence but also so far as possible on an international basis.

12. **Progress by Stages.** A primary step in the creation of the system of control is
the setting forth, in comprehensive terms, of the functions, responsibilities,
powers, and limitations of the Authority. Once a charter for the Authority
has been adopted, the Authority and the system of control for which it will
be responsible will require time to become fully organized and effective.
The plan of control will, therefore, have to come into effect in successive
stages. These should be specifically fixed in the charter or means should be
otherwise set forth in the charter for transitions from one stage to another,
as contemplated in the resolution of the United Nations Assembly which
created this Commission.

13. **Disclosures.** In the deliberations of the United Nations Commission on
Atomic Energy, the United States is prepared to make available the infor-
mation essential to a reasonable understanding of the proposals which it
advocates. Further disclosures must be dependent, in the interests of all,
upon the effective ratification of the treaty. When the Authority is actually
created, the United States will join the other nations in making available the
further information essential to that organization for the performance of its
functions. As the successive stages of international control are reached, the
United States will be prepared to yield, to the extent required by each stage,
national control of activities in this field to the Authority.

14. **International Control.** There will be questions about the extent of control
to be allowed to national bodies, when the Authority is established. Purely
national authorities for control and development of atomic energy should
to the extent necessary for the effective operation of the Authority be
subordinate to it. This is neither an endorsement nor a disapproval of the creation of national authorities. The Commission should evolve a clear demarcation of the scope of duties and responsibilities of such national authorities.

And now I end. I have submitted an outline for present discussion. Our consideration will be broadened by the criticism of the United States proposals and by the plans of the other nations, which, it is to be hoped, will be submitted at their early convenience. I and my associates of the United States Delegation will make available to each member of this body books and pamphlets, including the Acheson–Lilienthal report, recently made by the United States Department of State, and the McMahon Committee Monograph No. I entitled ‘Essential Information on Atomic Energy’ relating to the McMahon Bill recently passed by the United States Senate, which may prove of value in assessing the situation.

All of us are consecrated to making an end of gloom and hopelessness. It will not be an easy job. The way is long and thorny, but supremely worth traveling. All of us want to stand erect, with our faces to the sun, instead of being forced to burrow into the earth, like rats.

The pattern of salvation must be worked out by all for all.

The light at the end of the tunnel is dim, but our path seems to grow brighter as we actually begin our journey. We cannot yet light the way to the end. However, we hope the suggestions of my Government will be illuminating.

Let us keep in mind the exhortation of Abraham Lincoln, whose words, uttered at a moment of shattering national peril, form a complete text for our deliberation. I quote, paraphrasing slightly:

We cannot escape history. We of this meeting will be remembered in spite of ourselves. No personal significance or insignificance can spare one or another of us. The fiery trial through which we are passing will light us down in honor or dishonor to the latest generation.

We say we are for Peace. The world will not forget that we say this. We know how to save Peace. The world knows that we do. We, even we here, hold the power and have the responsibility.

We shall nobly save, or meanly lose, the last, best hope of earth. The way is plain, peaceful, generous, just – a way which, if followed, the world will forever applaud.

My thanks for your attention.